| FEB 0 2005 U | 5 no person | | t and Traden | mark Office | e; U.S. D | PTO/SB/21 (03-03) th 04/30/2003. OMB 0651-0031 EPARTMENT OF COMMERCE | |
|--|---------------|---|--------------|--------------------------------------|--|--|--|
| TRANSMITTAL | J. HV DVISVII | Application Number | 10/036,9 | | u.ss. <u>u</u> | va a va la como constante in militario. | |
| 1100101111111 | | Filing Date | Decembe | er 21, 200 | 1 | | |
| FORM | | First Named Inventor | MATZ | | | | |
| (to be used for all correspondence after initia | d filing) | Art Unit | 2171 | | | | |
| | | Examiner Name | LE, Uyen | T. | | - | |
| Total Number of Pages in This Submission | 10 | Attorney Docket Number | BS0142 | 4 | | | |
| | ENC | LOSURES (Check all tha | t apply) | | | 10000 | |
| Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | | Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addr Terminal Disclaimer Request for Refund CD, Number of CD(s) | ess | to a App of A App (App (App Pro Stat | Technologia Technologia Con Appeals Deal Con Deal Noti Prietary | sure(s) (please | |
| | ATURE C | OF APPLICANT, ATTORN | EY, OR A | AGENT | | | |
| Firm or Individual Signature Date 01 Feoruary 2005 | | | | | | | |
| | | | | | | | |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 01 February 2005 | | | | | | | |
| Typed or printed Scott P. Finner | ermarr. | D.C | | | | | |
| Signature | 243 | Lynn | | | Date | 01 February 2005 | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (12-04v2)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE FEB 0 4 2005 Reduction Act of 199 Under the Paner persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known

Effective on 12/045004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

| | ic. | | Application Number | 10/036,923 | |
|---------------------------------|------------|----------------|----------------------|-------------------|---|
| FEE TRAN | 12 IA | IIIIAL | Filing Date | December 21, 2001 | |
| For FY | 200 | 5 | First Named Inventor | MATZ | |
| Applicant claims small entity s | tatus S | 27 CED 1 27 | Examiner Name | LE, Uyen T. | |
| Applicant claims small entity s | T | 56 37 CFR 1.27 | Art Unit | 2171 | |
| TOTAL AMOUNT OF PAYMENT | (\$) | 180.00 | Attorney Docket No. | BS01424 | |
| METHOD OF PAYMENT (chec | k all tha | t apply) | | | = |
| Check Credit Card | Mon | ey Order No | ne Other (please in | dentify): | |
| 1 | | | | | _ |

| METHOD OF PAYMEN | IT (check a | il that apply) | | | | | |
|--|-------------------------------|---------------------------------------|-----------------------|-----------------------|------------------|--------------------------------|--------------------------|
| Check Credit Deposit Account | | Money Order | | | please identify) | | |
| For the above-ident | | | | | _ | | |
| | | | nector is neres; | authorizeu ti | o. (check all th | at apply) | |
| Charge fee(s | indicated b | elow | | Chan | ge fee(s) indic | ated below, ex | xcept for the filing fee |
| Charge any a under 37 CF | | | yments of fee(s | Cred | it any overpay | ments | |
| WARNING: Information on thi | is form may b | ecome public. C | redit card inform | ation should r | not be included | on this form. P | rovide credit card |
| Information and authorization | on PTO-203 | 3. | | | | | |
| FEE CALCULATION | | · · · · · · · · · · · · · · · · · · · | | | | | |
| 1. BASIC FILING, SEA | | | | | | | |
| | FILING | FEES Small Entity | SEARCH | I FEES mall Entity | | TION FEES | |
| Application Type | Fee (\$) | Fee (\$) | Fee (\$) | Fee (\$) | Fee (\$) | Fee (\$) | Fees Paid (\$) |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | _ |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |
| 2. EXCESS CLAIM FE | ES | | | | | | Small Entity |
| Fee Description Each claim over 20 (| including I | Paicenae) | | | | <u>Fee (\$)</u> 50 | Fee (\$) |
| Each independent cla | | | issues) | | | 200 | 25 100 |
| Multiple dependent of | | (| illoues) | | | 360 | 180 |
| Total Claims | Extra Clai | ms Fee (| Fee Pa | ild (\$) | | Multiple D | ependent Claims |
| - 20 or HP = | | × | = | | | Fee (\$) | Fee Paid (\$) |
| HP = highest number of tota Indep. Claims | l claims paid f Extra Clai | | | id (6) | | | |
| 3 or HP = | | x | | <u>ια (φ)</u> | | | |
| HP = highest number of inde | | s paid for, if grea | ter than 3. | | | | |
| 3. APPLICATION SIZE If the specification and | FEE I drawings | exceed 100 sl | neets of naner | (evaluding | alaatraniaall | u filad saawa | |
| listings under 37 C | FR 1.52(e) |), the applicat | tion size fee d | ue is \$250 (| \$125 for sma | y meu seque ill entity) for | each additional 50 |
| sheets or fraction th | hereof. See | 35 U.S.C. 4 | 1(a)(1)(G) and | 37 CFR 1 | 16(s) | | cach additional 50 |
| <u>Total Sheets</u> - 100 = | Extra She | <u>ets</u> <u>Nuı</u> / 50 ≃ | <u>nber of each a</u> | <u>dditional 50 (</u> | or fraction the | ereof Fee | (\$) Fee Paid (\$) |
| 4. OTHER FEE(S) | | /30 = _ | | ound up to a | whole number |) x | |
| Non-English Specifi | | - | • | , | | | Fees Paid (\$) |
| Other (e.g., late filing surcharge): SUPPLEMENTAL IDS FEE 1.17 (p) | | | | | | | 180.00 |

| SUBMITTED BY | | | | |
|-------------------|------------|------------|---|--------------------------|
| Signature | | Hot & your | Registration No. (Attorney/Agent) 41,390 | Telephone (919) 387-6907 |
| Name (Print/Type) | Scott P. Z | immerman | | Date 01 February 2005 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Director:

The Assignee respectfully requests entry and consideration of the references listed on the enclosed PTO 1449 Forms. Because this Supplemental Information Disclosure Statement is submitted after a first office action has issued, the Assignee herewith includes the 37 C.F.R. § 1.17 (p) fee of \$180.

If the Office has any questions, the Office is invited to contact the undersigned at (919) 387-6907 or scott@wzpatents.com.

Respectfully submitted,

Scott P. Zimmerman, Reg. No. 41,390

Attorney for Assignee

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Under Sub Substitute for form 1449/PTO

FEB 0 4 2005

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Coi | mplete if Known | |
|------------------------|-------------------|--|
| Application Number | 10/036,923 | |
| Filing Date | December 21, 2001 | |
| First Named Inventor | MATZ | |
| Art Unit | 2171 | |
| Examiner Name | LE, Uyen T. | |
| Attorney Docket Number | BS01424 | |

| | | | U. S. PATENT | DOCUMENTS | |
|--------------------|----------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No.1 | Document Number Number-Kind Code ^{2 (f known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | <u> </u> | US- 5,948,061 | 9/7/1999 | Merriman et al. | |
| | <u> </u> | ^{US-} 5,794,210 | 8/11/1998 | Goldhaber et al. | |
| | | US- 5,724,521 | 3-1998 | Dedrick | |
| | - | ^{US-} 5,892,536 | 4-1999 | Logan | |
| | | ^{US-} 5,600,364 | 2-1997 | Hendricks | |
| | | ^{US-} 6,282,713 | 8-2001 | Kitsukawa | |
| | | US- 6,477,704 | 11-2002 | Cremia | |
| | | ^{US-} 5,389,964 | 2-1995 | Oberle | |
| | | ^{US-} 6,002,393 | 12-1999 | Hite | |
| | | ^{US-} 4,912,552 | 3-1990 | Allison | |
| | 1 | ^{US-} 6,446,261 | 9-2002 | Rosser | |
| | | ^{US-} 5,848,396 | 12-1998 | Gerace | |
| | | ^{US-} 5,948,061 | 9-1999 | Merriman | |
| | | ^{US-} 6,100,916 | 8-2000 | August | |
| | | US- 6,314,577 | 11/6/2001 | Pocock | |
| | | ^{US-} 5,892,508 | 4/6/1999 | Howe | |
| | | ^{US-} 5,818,438 | 10/6/1998 | Howe | |
| | | ^{US-} 6,076,094 | 6/13/2000 | Cohen | |
| | | ^{US-} 6,172,674 | 1/9/2001 | Etheridge | |

| | | FORE | IGN PATENT DOCL | MENTS | | |
|--------------------|--------------|---|---------------------|--|---|----------------|
| Examiner tnitials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | T ⁶ |
| - | | WO 99 52285A | 10/14/1999 | | | |
| | | WO 99 04561A | 1/28/1999 | | | |
| | | WO 99 45702A | 9/10/1999 | | | |
| | | EP 0 424 648A | 2/2/1991 | | | |
| | | EP 1162840 A2 | 12-2001 | Wilson | | |
| | | | | | | |

| Examiner | Date | | • |
|-----------|------------|--|---|
| Signature | Considered | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a banefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE work Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 10

| Complete if Known | | | | | |
|------------------------|-------------------|--|--|--|--|
| Application Number | 10/036,923 | | | | |
| Filing Date | December 21, 2001 | | | | |
| First Named Inventor | MATZ | | | | |
| Art Unit | 2171 | | | | |
| Examiner Name | LE, Uyen T. | | | | |
| Attorney Docket Number | BS01424 | | | | |

| | | | U. S. PATENT | DOCUMENTS | |
|--------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ^{2 (# known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | 1 | ^{US-} 6,252,586 | 6/26/2001 | Freeman | |
| | 1 | ^{US-} 5,661,516 | 8/1997 | Carles | |
| | | US- 5,774,170 | 6-1998 | Hite | |
| | | ^{US-} 5,758,257 | 5-1998 | Herz | |
| | | ^{US-} 5,410,344 | 4-1995 | Graves | |
| | | ^{US-} 5,754,393 | 5-1998 | Herz | |
| | | ^{US-} 5,838,314 | 11-1998 | Neel | |
| | | ^{US-} 6,029,045 | 1-2001 | Alexander | |
| | | ^{US-} 6,463,468 | 10-2002 | Buch | |
| | | ^{US-} 6,496,818 | 12-2002 | Ponte | |
| | | ^{US-} 6,507,839 | 1-2003 | Ponte | |
| | | ^{US-} 6,510,417 | 1-2003 | Woods | |
| | | ^{US-} 6,631,360 | 10-2003 | Cook | |
| | | ^{US-} 4,602,279 | 7-1986 | Freeman | |
| | | us- 5,446,919 | 8-1995 | Wilkins | |
| | | ^{US-} 5,585,865 | 12-1996 | Amano | |
| | | ^{US-} 5,758,259 | 5-1998 | Lawler | |
| | | ^{US-} 5,861,906 | 1-1999 | Dunn | |
| | | ^{US-} 5,973,683 | 10-1999 | Cragun | |

| · | FOREIGN PATENT DOCUMENTS | | | | | | | | |
|-----------------------|--------------------------|---|---------------------|--|---|----------|--|--|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | | | | |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | | | | |
| | | | | | | <u>Ļ</u> | | | |
| | | | | | | <u> </u> | | | |
| | | | | | | | | | |
| | | | | | | - | | | |
| | | | | | | ┡ | | | |

| Examiner | Date | |
|-----------|------------|---|
| Signature | Considered | |
| | | 1 |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

FEB 0 4 2005 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE privark Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sheet

Substitute for form 1449/PTO **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known | | | | | |
|------------------------|-------------------|--|--|--|--|
| Application Number | 10/036,923 | | | | |
| Filing Date | December 21, 2001 | | | | |
| First Named Inventor | MATZ | | | | |
| Art Unit | 2171 | | | | |
| Examiner Name | LE, Uyen T. | | | | |
| Attorney Docket Number | BS01424 | | | | |

| | | | | DOCUMENTS | |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ^{2 (f known)} | Publication Date MM-DD-YYYY | Name of Patentee or App!!cant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | ^{US-} 6,005,597 | 12-1999 | Barrett | |
| | | ^{US-} 6,314,568 | 11-2001 | Ochiai | |
| | | ^{US-} 6,457,010 | 9-2002 | Eldering | |
| | | ^{US-} 2002/0143647 | 10-2002 | Headings | |
| | | ^{US-} 2002/0199197 | 12-2002 | Winter | |
| | | ^{US-} 5,543,911 | 7-1996 | Levitan | |
| | | ^{US-} 2003/0067554 | 4-2003 | Klarfield | |
| | | ^{US-} 6,202,210 | 3-2001 | Ludtke | |
| | | ^{US-} 5,630,119 | 5/13/1997 | Aristides | |
| | | ^{US-} 5,917,481 | 6/29/1999 | Rzeszewski | |
| | | ^{US-} 5,983,227 | 11/9/1999 | Nazem | |
| | ı | ^{US-} 5,038,211 | 8/6/1991 | Hallenbeck | |
| | | ^{US-} 5,559,548 | 9/24/1996 | Davis | |
| | | ^{US-} 6,463,585 | 10/2002 | Hendricks | |
| | | ^{US-} 6,505,348 | 1-2003 | Knowles | |
| | | ^{US-} 6,185,614 | 2/2001 | Cuomo | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |

| | FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------|--------------------------|---|---------------------|--|---|----------------|--|--|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | | | | |
| | <u> </u> | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | T ⁶ | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |
| | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a co" action of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

ERECT PRADE

서NFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

| Complete if Known | | | | |
|------------------------|-------------------|--|--|--|
| Application Number | 10/036,923 | | | |
| Filing Date | December 21, 2001 | | | |
| First Named Inventor | MATZ | | | |
| Group Art Unit | 2171 | | | |
| Examiner Name | LE, Uyen T. | | | |
| Attorney Docket Number | BS01424 | | | |

| _ | | U.S. Patent Document | Name of Patentee or Applicant | Date of Publication of | Pages, Columns, Lines, Where Relevan |
|------------------------|--------------------------|-------------------------------|-------------------------------|---------------------------|--------------------------------------|
| Examiner Initials * | Cite No. ¹ | Number Kind Code ² | of Cited Document | Cited Document MM-DD-YYYY | Passages or Relevant Figures Appear |
| | | US- 3,798,610 | Bliss et al. | 03-19-1974 | |
| | | US- 3,886,302 | Kosco | 05-27-1975 | |
| | | US- 4,130,833 | Chomet | 12-19-1978 | |
| | | US- 4,258,386 | Cheung | 03-24-1981 | |
| | | US- 4,361,851 | Asip et al. | 11-30-1982 | |
| | | US- 4,488,179 | Kruger et al. | 12-11-1984 | |
| | | US- 4,566,030 | Nickerson et al. | 01-21-1986 | |
| | | US- 4,567,591 | Gray et al. | 02-28-1986 | |
| | | US- 4,598,288 | Yarbrough et al. | 07-01-1986 | |
| | | US- 4,688,248 | Tomizawa | 08-18-1987 | |
| | | US- 4,689,661 | Barbieri et al. | 08-25-1987 | |
| | | US- 4,697,209 | Kiewitt et al. | 09-1987 | |
| | | US- 4,720,873 | Goodman et al. | 01-19-1988 | |
| | | US- 4,816,904 | McKenna et al. | 03-28-1989 | |
| | | US- 4,890,322 | Russell, Jr. | 12-2601989 | |
| | | US- 4,912,552 | Allison, III. et al. | 03-27-1990 | |
| | | US- 5,010,585 | Garcia | 04-23-1991 | |
| | | US- 5,046,090 | Walker et al. | 09-03-1991 | |
| | | US- 5,046,092 | Walker et al. | 09-03-1991 | |
| | | US- 5,055,924 | Skutta | 10-08-1991 | |
| | | US- 5,173,900 | Miller et al. | 12-22-1992 | |
| | | US- 5,191, 645 | Carlucci et al. | 03-02-1993 | |
| | | US- 5,208,665 | McCalley et al. | 05-04-1993 | |
| | | US-5,247,347 | Litteral et al. | 09-21-1993 | |
| | | US- 5,249,044 | VonKohorn | 09-28-1993 | |
| | | US- 5,251,324 A | McMullan | 10-1993 | |
| | | US- 5,287,181 | Holman | 02-15-1994 | 1 |
| | | US- 5,335,277 | Harvey et al. | 08-02-94 | |
| - | | US- 5,339,315 | Maeda et al. | 08-16-1994 | |
| | | US- 5,343,240 | Yu | 08-30-1994 | |
| | | US- 5,374,951 | Welsh | 12-20-1994 | |
| | | US- 5,357,276 | Banker et al. | 10-18-1994 | |
| | | US- 5,404,393 | Remillard | 04-04-1994 | |
| | | US- 5,410,326 | Goldstein | 04-1995 | |
| | | US- 5,446,919 | Wilkins, Jeff K. | 08-1995 | |
| | | US- 5,446,490 | Blahut et al. | 08-29-1995 | |
| | | US- 5, 500,681 | Jones | 03-1996 | |

| Examiner Date Signature Considered | |
|------------------------------------|--|
|------------------------------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

FEB 0 & 2005

E TRADEM

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known **Application Number** 10/036,923 December 21, 2001 Filing Date First Named Inventor **MATZ** 2171 Group Art Unit **Examiner Name** LE, Uyen T. BS01424

Of Attorney Docket Number

| | 1 | U.S. Patent Documer | U.S. PATENT DOCU | · · | |
|------------------------|--------------|-----------------------------|-------------------------------|--|--|
| Examiner Initials * | Cite No.1 | Number Kind Code (if known) | Name of Patentee or Applicant | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear |
| | | US- 5,585,838 | Lawler et al. | 12-17-1996 | |
| | | US- 5,608,448 | Smoral et al. | 03-04-1997 | |
| | | US- 5,619,247 A | Russo | 04-1997 | |
| | | US- 5,661,516 | Carles, John B. | 08-1997 | |
| | | US- 5,698,670 | Matty | 10-06-1987 | |
| | | US- 5,724,525 | Beyers II et al. | 03-1998 | |
| | | US- 5,752,159 | Faust et al. | 05-1998 | |
| | | US- 5,758,257 | Herz et al. | 05-1998 | |
| | | US- 5,774,170 | Hite et al. | 06-1998 | |
| | | US- 5,778,182 | Cathey et al. | 07-07-1998 | |
| | | US- 5,818,438 B1 | Howe et al. | 10-06-1998 | |
| | | US- 5,892,508 B1 | Howe et al. | 04-06-1999 | |
| - | | US- 6,015,344 | Kelly et al. | 01-18-2000 | |
| | | US- 6,076,094 B1 | Cohen et al. | 06-13-2000 | |
| | | US- 6,134,531 | Trewit et al. | 10-2000 | |
| | | US- 6,172,674 B1 | Etheredge et al. | 01-09-2001 | |
| | | US- 6,202,210 | Ludtke | 03-2001 | |
| | | US- 6,252,586 B1 | Freeman et al. | 06-26-2001 | |
| | | US- 6,314,577 B1 | Pocock | 11-06-2001 | |
| · · · · · · | | US- 6,446,261 B1 | Rosser | 09-2002 | 1 |
| | | US- 6,463,585 | Hendricks et al. | 10-2002 | |
| | | US- 6,530,082 B1 | Del Sesto et al. | 03-2002 | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|-----------------------------------|------------------------------|--|----------------|
| | 0.11 | F | oreign Patent Do | cument | Name of Patentee | Date of Publication of | Pages, Columns, Lines, | |
| Examiner Initials* | Cite No. ¹ | Offic e ³ | Number ⁴ | Kind Code ⁵ (if known) | or Applicant of Cited Document | Cited Document MM-DD-YYYY | Where Relevant Passages or Relevant Figures Appear | T ₆ |
| | | | EP 116284 | 0 A2 | Wilson et al. | 12-2001 | | |
| | | { | | | | | | |

| | | |
|-----------|------------|--|
| Examiner | Date | |
| Signature | Considered | |

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

| | - N | | | | |
|-------|------------------|--------|------------|------------|-----|
| 1 200 | eas u lyp | a plus | sign (+) i | nside this | рох |

| + | |
|---|--|
|---|--|

| | PTO/SB/CBA (| | |
|------------------------|--------------|-----|----------|
| annual for use through | 10/31/2002 | OMB | 1054 005 |

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a occleation of information unless it contains a valid OMB control number

RADE ABSubstitute for form 1449A/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

9 Of 10

| Complete if Known | | | |
|------------------------|-------------------|--|--|
| Application Number | 10/036,923 | | |
| Filing Date | December 21, 2001 | | |
| First Named Inventor | MATZ | | |
| Group Art Unit | 2171 | | |
| Examiner Name | LE, Uyen T. | | |
| Attorney Docket Number | BS01424 | | |
| Examiner Name | LE, Uyen T. | | |

| OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS | | | |
|---|--------------------------|---|----|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
| | | PCT Publication No. WO 94/17609 (Kiefl et al., 08-04-1994) | |
| | | CAULEY, LESLIE, "Microsoft, Baby Bell Form Video Alliance," The Wall Street Journal, September 26, 1994. | |
| | | U.S. Application No. 2002/0032906 A1 (Grossman, 03-2002) | |
| | | U.S. Application No. 2002/0035600 A1 (Ullman et al., 03-2002) | |
| | | U.S. Application No. 2002/0092019 A1 (Dwight, 07-11-2002) | |
| | | U.S. Application No. 2002/0123928 A1 (Eldering et al., 09-2002) | |
| | | U.S. Application No. 2002/0143647 A1 (Headings et al., 10-2002) | |
| | | U.S. Application No. 2003/0049967 A1 (Kinzo et al., 03-13-2003) | |
| | | U.S. Application No. 2003/0110497 A1 (Yassin et al., 06-2003) | |
| | | International Search Report for PCT /US02/40070 A1 | |

| | | | |
|---|-----------|------------|--|
| 1 | Examiner | Date | |
| | Signature | Considered | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

sign (+) inside this box

PTO/SB/08A (03-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1935, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449APTO

10

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

10

of

| Complete if Known | | |
|------------------------|-------------------|---|
| Application Number | 10/036,923 | |
| Filing Date | December 21, 2001 | |
| First Named Inventor | MATZ | |
| Group Art Unit | 2171 | |
| Examiner Name | LE, Uyen T. | |
| Attorney Docket Number | BS01424 | |
| | | 7 |

| | | NON PATENT LITERATURE DOCUMENTS | |
|----------------------|--------------|---|---------|
| Examiner nitials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T |
| | Ĭ | "allNetDevices: - Report: Interactive TV Soon to Become Direct Marketing Tool," | |
| | | allNetDevices, | |
| | 1 | www.devices.internet.com/com_cgi/print/print.cgi?urf=http://devices/ | |
| | <u> </u> | report_interactive.htm, (11/16/01)pp.1-2. | _ |
| | | "Liberate Technologies - Solutions," Digital Cable Two-Way, | |
| | - | www.solutions.liberate.com/architecture/dc2.html, (11/16/01) p. 1 of 1. | |
| | | "Liberate Technologies - Solutions," Digital Broadband Telco, | |
| | | www.solutions.liberate.com/architecture/db.html, (11/16/01) p. 1 of 1. | |
| | | "Liberate Technologies - Solutions," Liberate Connect Suite, | |
| | | www.solutions.liberate.com./products/connect_suite.html, (11/16/01) pp. 1-2. | |
| | | "Liberate Technologies – Solutions," Liberate Imprint Server™, | |
| | | www.solutions.liberate.com./products/imprint_server.html, (11/16/01) p. 1 of 1. | |
| | | "Liberate Technologies – Solutions," Liberate Mediacast Server™, | |
| | | www.solutions.liberate.com/products/mediacast_server.html, (11/16/01) pp. 1-2. | |
| | | "Spike High Performance Server Array," Mixed Signals Technologies, Inc., | |
| | | www.mixedsignals.com.itvinfo@mixedsignals.com, (2000) p. 1. | |
| | | "power, flexibility, an control," Respond TV.www.respondtv.com/whyrespond.html (11/16/01) pp. 1-2. | |
| | | "it just clicks!," RespondTV, www.respondtv.com/inaction.html, (11/16/01) pp. 1-2. | |
| | | "The Wink System," Wink System Diagram, | |
| | | www.wink.com/contents/tech_diagram.shtml, (11/16/01) p. 1 of 1. | |
| | | "What is Wink?," www.wink.com/contents/whatiswink.shtml, (11/16/01) p. 1 of 1. | 1 |
| | 1 | "How Wink Works," What is Wink: How wink works, | |
| | | www.wink.com/contents./howitworks.shtml, (11/16/01) p. 1 of 1. | |
| | | "What is Wink: Examples," What is Wink – Examples, | |
| | | www.wink.com/contents/examples.shtml, (11/16/01) pp. 1-2. | 1 |
| | | "Nielsen Media Research - Who We Are & What We Do," | |
| | | www.nielsenmedia.com/whoweare.html, (10/11/01) pp. 1-4. | \perp |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

